CHANGE FORM - REAL ESTATE

Access this form via website at: www.hawaii.gov/hirec

Legal Name of Individual:

Submit **ORIGINALS** only - FAX and PHOTOCOPIES will not be accepted. Use a typewriter or print *LEGIBLY*. (BLACK INK ONLY) Read the instructions on the reverse side.

Mail to: REAL ESTATE COMMISSION DCCA, PVL Licensing Branch

P.O. Box 3469 Honolulu, HI 96801

335 Merchant St., Room 301 Honolulu, HI 96813 Deliver to:

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	License	e Name	e (Individual's First	, Middle, LAST, or	Entity)			License Number	Other Registrations	
								RB-	CMA-	
	Residence Location Address, if individual & sole proprietor: (NO P.O. BOX)							RS-	CHO-	
Ì	Business Address only if entity: (NO P.O. BOX)						Phone No. (days)			
	Mailing Address:									
PART 1. For Licensee to complete										
	INDICATE CHANGE BEING REPORTED: NO change will be recorded unless appropriate box(es) is/are checked.									
	[]	[] Change RESIDENCE LOCATION ADDRESS to address above. Signature of licensee required.			ESS to address above.	[] Change BUSINESS ADDRESS of principal place of business or branch office. Signing below certifies that the new business address				
	[]	[] Change MAILING ADDRESS. Completion of "Residence Address" and signature of licensee required.			is in compliance with Chapter 467, HRS, and Chapter 99, HAR. Fee: \$10 Is this a home occupation office: YES NO					
	[] CHA		NGE BROKER. See instructions on the reverse.				New business address:			
	[]	[] PLACE LICENSE INACTIVE. S		TIVE. See instruc	ctions on the reverse.	Phone Number:				
	[]	Entity addre	REACTIVATE license. See instructions on the reverse. Entity/Branch Office: Signing below certifies that the business address is in compliance with Chapter 467, HRS, and Chapter 99,				[] Surrender license (individual, entity, or branch office). Signature of licensee required. See instructions on the reverse.			
		HAR. Fee:	\$75 for entity/bra \$25 for salespers	inch office son and broker-sa	lesperson.	[] Change, Add or Delete TRADE proprietor). Fee: \$10 From:				
	[]			RIETOR. See instructions on the reverse. olication for License: Real Estate Sole			To: Delete: Submit a copy of the "Application for Registration of Trade Name" that has been filed-stamped by the Business Registration Division,			
			ge of/to PRINCIPAL BROKER. See instructions on the se. Fee: \$10				Commerce and Consumer Affairs.			
	[]	[] Change to Add or Release BROKER IN CHARGE. See instructions on the reverse. Fee: \$10 [] Change from broker-salesperson to broker in charge. [] Change from broker in charge to broker-salesperson.			[] Change legal NAME OF ENTITY or INDIVIDUAL. Attach old wall certificate and copy of legal document showing name change (Entity: Filed-stamped copy of "Certificate of Amendment" filed with the Business Registration Division, Commerce & Consumer Affairs. Individual: Marriage certificate, divorce decree, etc.). Fee: \$10					
	[]		Request DUPLICATE LICENSE. Circle type of license being				Change to:			
		reque	ested. Poo	cket License	Wall Certificate	[]	Change of license name			
		Fee: \$	\$10 for each licens	se or certificate.			Change to:			
	[]	Temporary Principal Broker or Broker in Charge Assignment. See instructions on the reverse. Complete period covered								
			From To			SIG	NATURE OF LICENSEE		DATE	
	ŭ		nature of Appointing Broker (complete Part 2A)							
	Signature of Temporary Broker Acknowledging Assignment (complete Part 2B)									
	(· · · · · · · · · · · · · · · · · · ·									
			DATE	SIGNATURE of	Releasing Broker (Principal Bro	ker, So	le Proprietor Broker or Broke	er in Charge of	License No. of Broker	
PART 2 For Releasing and/or New Broker to Complete	PART 2A.		RELEASED branch office where licensee was registered). Please print yo					DD.		
	Releasing Broker		NAME & FULL BUSINESS ADDRESS of Releasing Entity or Branch Office ((Number, Street, Suite No., C					o City State and	RB- License No. of Entity	
			Zip Code)				ce ((Namber, Street, Saite N	o., ony, otato and	•	
PAR easin			DATE HIRED SIGNATURE of New Broker (Principal Broker,			Sole F	RB- Sole Proprietor Broker or Broker in Charge of branch License No. of Broker			
or Rek	PART 2B. New Broker		office where licensee will be registered). Please print your					RB-		
Ne			NAME & FULL BUSINESS ADDRESS of New Entity or Branch Office (Trade Name, Number, Street, Suite No., City, State and Zin Code)					Suite No., City,	License No. of Entity	

CHANGE FORM INSTRUCTIONS

- 1. Complete Part 1 of the form and check the appropriate box(es) for the change being requested. INCOMPLETE FORMS WILL NOT BE ACCEPTED.
- 2. Where supporting documents are required, submit ORIGINALS only, unless otherwise stated.
- 3. When a fee is required, the amount is indicated. Make checks payable to "Commerce and Consumer Affairs." A \$15 service fee will be charged for checks that are not honored by the financial institution, and the requested change will not be processed. If no fee is indicated, there is no fee for that change.
- 4. Obtain the appropriate signatures as indicated. Mail the form to REAL ESTATE COMMISSION; DCCA, PVL Licensing Branch; P.O. Box 3469; Honolulu, HI 96801 or deliver to 335 Merchant St., Room 301; Honolulu, HI 96813.
- 5. The effective date of a change will be as indicated on the form. You must submit changes within 10 days of the change as required by Rule 16-99-5. Failure to comply may result in disciplinary action.

Additional information on specific changes is provided below:

<u>Change Broker</u>: Mark this box if the licensee is changing to a different broker immediately. Licensee must complete Part 1. Licensee's signature is required. If the licensee is currently affiliated with a broker, the former broker must complete Part 2A. The new principal broker or broker in charge must complete Part 2B.

<u>Place License Inactive</u>: Releasing principal broker or broker in charge must complete Part 2A and licensee's signature is required in Part 1. If releasing licensee and unable to obtain licensee's signature, Rule 16-99-5.1(c) requires the broker to provide the licensee written notification.

Reactivate License/Registration:

<u>Salesperson/Broker-Salesperson</u>: Licensee must complete Part 1 and licensee's signature is required. New principal broker or broker in charge completes and signs Part 2B. Attach original continuing education certificates (CEs) if your license has not been active during current license biennium. If you are a new salesperson within the current biennium, CEs are not required. A \$25 reactivation fee is required.

Entity: The name of the entity appears in Part 1, License Name. Principal broker's signature is required. Attach a current "Certificate of Good Standing" obtained from the Business Registration Division of the Department of Commerce and Consumer Affairs, entity resolution (sample available from Licensing Branch, or via website at www.hawaii.gov/hirec), and separate change form for the new principal broker and broker in charge(s) (if applicable), and all associating salespersons and broker-salespersons. If using a trade name, attach a file-stamped copy of current trade name registration approved by the Business Registration Division. A \$75 reactivation fee is required.

<u>Branch Office</u>: The name of the entity appears in Part 1, License Name. Principal broker's signature is required. Each branch office shall have at least one (1) broker in charge. Submit a change form to register a broker in charge for the brokerage firm if the principal broker has not already designated a broker in charge. A \$75 reactivation fee is required.

Sole Proprietor: Complete "Application for License: Real Estate Sole Proprietor" and follow the instructions on that form.

Change to Sole Proprietor: If currently affiliated with a broker, the releasing broker must complete Part 2A and licensee must complete Part 1 and Part 2B. If currently inactive, complete Part 1 and Part 2B. In addition, complete "Application for License: Real Estate Sole Proprietor" (available at Licensing Branch, or via website at www.hawaii.gov/hirec). If using a trade name, attach a file-stamped copy of current trade name registration approved by the Business Registration Division of the Department of Commerce and Consumer Affairs. A \$40 fee is required.

<u>Change Principal Broker</u>: Check this box if the entity is changing its principal broker. This transaction requires change forms for each of the following: entity, new principal broker, and former principal broker as explained below. Only one (1) fee of \$10 is required for this transaction.

Corporate/Partnership/LLC/LLP: The License Name in Part 1 is the name of the entity. The former principal broker must complete Part 2B. Attach an entity resolution. Sample of an entity resolution is available from Licensing Branch, or via website at www.hawaii.gov/hirec.

New Principal Broker: Principal broker's name appears at the top in Part 1, License Name. If previously affiliated with a brokerage firm, have your former principal broker complete Part 2A and new principal broker complete Part 2B. Licensee's signature is required.

<u>Former Principal Broker</u>: His or her name appears at the top in Part 1, License Name. If going to another firm, mark the "Change Broker" box and complete Part 2A and your new principal broker or broker in charge completes Part 2B. If going inactive, follow instructions to place license inactive. If this change form is not received, licensee will be automatically changed to broker-salesperson status with the same company.

Change Broker In Charge:

New broker in charge: Broker in charge's name appears in Part 1, License Name. If previously affiliated with another brokerage firm, have your former principal broker complete Part 2A. Have your new principal broker complete Part 2B. If a broker-salesperson currently affiliated with the brokerage firm, also mark "Change from broker-salesperson to broker in charge" box, and have the principal broker complete Parts 2A and 2B. If inactive, also mark the "Reactivate" box and follow the instructions to reactivate license. Licensee's signature is required. A \$10 change broker in charge fee is required.

<u>Former broker in charge</u>: His or her name appears in Part 1, License Name. If going to another firm, mark the "Change Broker" box and have the former principal broker complete Part 2A. Have your new principal broker complete Part 2B. If changing to a broker-salesperson within the brokerage firm, also mark "Change from broker in charge to broker-salesperson" box, and have the principal broker complete Parts 2A and 2B. Licensee's signature is required. If going inactive, follow instructions to place license inactive.

Appointing a Temporary Principal Broker or Broker In Charge: The name of the entity appears in Part 1, License Name. Complete Part 2A for appointing broker's signature and complete Part 2B for temporary broker's signature. Submit notification BEFORE the start of the period covered. A principal broker or broker in charge absent from the principal place of business for more than 30 calendar days, and no other broker in charge is registered with the principal place of business, must designate a temporary principal broker or temporary broker in charge. A temporary appointment may be made for up to 6 months, with an extension of 6 months subject to Commission approval. In case of death or prolonged illness, submit doctor's statement documenting illness or death certificate, whichever is appropriate.

<u>Surrender of License</u>: A licensee who has no pending complaints, investigations, disciplinary petitions filed against the licensee or disciplinary hearings may surrender the license. Attach wall certificate and pocket license. Once license is surrendered, the person who desires to engage in real estate activity in the future must meet all requirements as a new applicant.